# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE Examiner: Haddad, Maher M. In re application of: Art Unit: 1644 Avi ASHKENAZI, et al. Confirmation No. 1253 Application Serial No. 10/785,220 Attorney's Docket No. 39780-1216 R1D4 Filed: February 24, 2004 Customer No. 35489 For: COMPOUNDS, COMPOSITIONS AND METHODS FOR THE TREATMENT OF **DISEASES CHARACTERIZED BY A-33 RELATED ANTIGENS**

EXPRESS MAIL LABEL NO. EV 765 982 465 US DATE MAILED: DECEMBER 20, 2005

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

#### MAIL STOP AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Sir:

Listed below or on an attached Form PTO-1449 is information known to applicant(s). A copy is provided of each foreign patent, each cited pending unpublished U.S. application, and all additional publications other than U.S. patents and U.S. patent application publications. 37 C.F.R. §§1.97-1.98.

Applicants respectfully request that the listed information be considered by the Examiner and be made of record in the above-identified application. If form PTO-1449 is enclosed, the Examiner is requested to initial and return it in accordance with MPEP §609.

This statement is not intended to represent that a search has been made or that the information

cited ir	the state	ement is	, or is considered to be, material to patentability as defined in §1.56.						
	This statement qualifies under 37 C.F.R. §1.97, subsection (b) because (check all that apply):								
		(1)	It is being filed within 3 months of the application filing date and is other than a continued prosecution application under § 1.53(d)  OR						
		(2)	It is being filed within 3 months of entry of a national stage OR						
		(3)	It is being filed before the mail date of the first Office Action on the merits OR						
		(4)	It is being filed before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.						
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<ul> <li>fee, then:</li> <li>A. a certification as specified in §1.97(e) is completed below; and</li> <li>B. a petition under 37 C.F.R. §1.97(d) requesting consideration of this statement is submitted herewith; and</li> <li>C. a fee of \$130.00 as set forth in §1.17(i)(1) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.</li> </ul>		filing d stage as action of	F.R. §1.97(c). If this statement is being filed after the latest of: (1) three is date of a national application; (2) three months beyond the date of entry cas set forth in §1.491 in an international application; or (3) the mailing date on the merits, but before the mailing date of the earlier of a final office a notice of allowance under §1.311, then:	of the national te of a first Office				
the payment of other papers filed together with this statement.  37 C.F.R. §1.97(d). If this statement is being filed after the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, but before payment of the issurfee, then:  A. a certification as specified in §1.97(e) is completed below; and  B. a petition under 37 C.F.R. §1.97(d) requesting consideration of this statement is submitted herewith; and  C. a fee of \$130.00 as set forth in §1.17(i)(1) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.  Fee Authorization. The Commissioner is hereby authorized to charge the above-referenced fees of \$180.00 and charge any additional fees or credit any overpayment associated with this communication to Deposit Account No. 08-1641 (Docket No.: 39780-1216 R1D4).  Respectfully submitted,  Pateller EHRMAN LLP  275 Middlefield Road  Menlo Park, California 94025-3506  Telephone: (650) 324-7000			a certification as specified in §1.97(e) is provided below; or					
office action under §1.113 or a notice of allowance under §1.311, but before payment of the issure, then:  A. a certification as specified in §1.97(e) is completed below; and  B. a petition under 37 C.F.R. §1.97(d) requesting consideration of this statement is submitted herewith; and  C. a fee of \$130.00 as set forth in §1.17(i)(1) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.   Fee Authorization. The Commissioner is hereby authorized to charge the above-referenced fees of \$180.00 and charge any additional fees or credit any overpayment associated with this communication to Deposit Account No. 08-1641 (Docket No.: 39780-1216 R1D4).  Respectfully submitted,  By:  Ginger R. Dreger (Reg. No. 33,055)  HELLER EHRMAN LLP  275 Middlefield Road  Menlo Park, California 94025-3506  Telephone: (650) 324-7000				or included with				
B. a petition under 37 C.F.R. §1.97(d) requesting consideration of this statement is submitted herewith; and  C. a fee of \$130.00 as set forth in §1.17(i)(1) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.   Fee Authorization. The Commissioner is hereby authorized to charge the above-referenced fees of \$180.00 and charge any additional fees or credit any overpayment associated with this communication to Deposit Account No. 08-1641 (Docket No.: 39780-1216 R1D4).  Respectfully submitted,  Dated: December 20, 2005  By: Ginger R. Dreger (Reg. No. 33,055)  HELLER EHRMAN LLP  275 Middlefield Road  Menlo Park, California 94025-3506  Telephone: (650) 324-7000		office action under §1.113 or a notice of allowance under §1.311, but before payment of the issue						
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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT			39780-1216 R1D4			10/785,220			
DISCL	PTO-1449 0 2005	APP	APPLICANT: Avi ASHKENAZI, et al.						
	PTO-1449  DEC 9. 0 2005	FILI	NG DATE: <b>02/2</b> 4	/2004	GROUP: 1644				
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	GENESEQ Database; Accession 1998; Inventor: A. Ashkenazi, e	No.:							
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	1999; Inventor: SM Ruben, et al GENESEQ Database; Accession	l <b>.</b>					<u>-</u>		
	1999; Inventor: SM Ruben, et al GENESEQ Database; Accession	l.							
<u> </u>	1998; Inventor: A Ashkenazi, et GENESEQ Database; Accession	al.	_						
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	Inventor: K. Baker, et al. GENESEQ Database; Accession	No.:	P_AAB44247; Publ	ication: WO	2000/5	3756; Priority Date	e: March 8, 1999;		
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#### SERIAL NO. ATTY. DOCKET NO. SUPPLEMENTAL INFORMATION 39780-1216 R1D4 10/785,220 **DISCLOSURE STATEMENT** APPLICANT: Avi ASHKENAZI, et al. PTO-1449 FILING DATE: 02/24/2004 **GROUP: 1644 U.S. PATENT DOCUMENTS** SUBCLASS FILING DATE DATE NAME **CLASS** EXAMINER'S PATENT NO. INITIALS OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) GBTRANS Database; Accession No.: CA51536; Direct Submission; Date: January 25, 1999; Institute for Human Genetics, K. Langnaese GBTRANS Database; Accession No.: NP 009199; Publication: Biochim. Biophys. Acta 1492 (2-3): 522-525; Date: 2000; K. Langnaese, et al. GENESEQ Database; Accession No.: P AAM93874; Publication: EP1130094; Priority Date: July 8, 1999; Inventor: T. Ota, et al. GENESEQ Database; Accession No.: P AAE04290; Publication: WO2001/36432; Priority Date: November 19, 1999: Inventor: SM. Ruben, et al. GENESEQ Database; Accession No.: P\_ABU54367; Publication: US2002/132240; Priority Date: September 10, 1998; Inventor: A. Ashkenazi, et al. GENESEO Database: Accession No.: P AAO16451; Publication: WO2003/008541; Priority Date: July 16, 2001; Inventor: JG. Heuer GENESEQ Database; Accession No.: P\_ABU64519; Publication: US2002/160374; Priority Date: September 10, 1998; Inventor: A Ashkenazi, et al. GENESEQ Database; Accession No.: P ABU59833; Publication: US2003/017563; Priority Date: March 31, 1997; Inventor: K. Baker, et al. GENESEQ Database; Accession No.: P ABU67365; Publication: US2003/023054; Priority Date: September 10, 1998; Inventor: A Ashkenazi, et al. GENESEO Database; Accession No.: P ABU67291; Publication: US2003/032063; Priority Date: September 14, 1998; Inventor: A. Ashkenazi, et al. GENESEQ Database; Accession No.: P ABU67160; Publication: US2003/032062; Priority Date: July 14, 1998; Inventor: A. Ashkenazi, et al. GENESEQ Database; Accession No.: P ABU67028; Publication: US2003/0321550; Priority Date: March 31, 1997; Inventor: K. Baker, et al. GENESEQ Database; Accession No.: P ABU66752; Publication: US2003/036180; Priority Date: March 31, 1997; Inventor: K. Baker, et al. GENESEQ Database; Accession No.: P\_ABU07736; Publication: US2002/182206; Priority Date: November 21, 1997; Inventor: A. Ashkenazi, et al. GENESEQ Database; Accession No.: P\_ABU72059; Publication: US2002/177165; Priority Date: July 14, 1998; Inventor: A. Ashkenazi, et al. GENESEQ Database; Accession No.: P ABU71911; Publication: US2003/003530; Priority Date: September 10, 1998; Inventor: A. Ashkenazi, et al. GENESEQ Database; Accession No.: P\_ABU71465; Publication: US2002/192659; Priority Date: September 10, 1998; Inventor: A. Ashkenazi, et al. GENESEQ Database; Accession No.: P ABU69642; Publication: US2003/017463; Priority Date: September 10, 1998; Inventor: A. Ashkenzai, et al. GENESEQ Database; Accession No.: P\_ABU81052; Publication: US2003/004311; Priority Date: Jun 12, 1998; Inventor: K. Baker, et al.

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#### SERIAL NO. ATTY. DOCKET NO. SUPPLEMENTAL INFORMATION 10/785,220 39780-1216 R1D4 DISCLOSURE STATEMENT APPLICANT: Avi ASHKENAZI, et al. PTO-1449 FILING DATE: 02/24/2004 GROUP: 1644 U.S. PATENT DOCUMENTS **CLASS** SUBCLASS FILING DATE EXAMINER'S DATE NAME PATENT NO. INITIALS OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) GENESEQ Database; Accession No.: P\_AAU14405; Publication: WO2001/55437; Priority Date: January 25, 2000; Inventor: YT Tang, et al. GENESEQ Database; Accession No.: P AAU14404; Publication: WO2001/55437; Priority Date: January 25, 2000; Inventor: YT Tang, et al. GENESEQ Database; Accession No.: P AAU14168; Publication: WO2001/55437; Priority Date: January 25, 2000; Inventor: YT Tang, et al. GENESEQ Database; Accession No.: P AAU12354; Publication: WO2001/40466; Priority Date: December 1, 1999; Inventor: K. Baker, et al. GENESEQ Database; Accession No.: P\_AAM93577; Publication: EP1130094; Priority Date: July 8, 1999; Inventor: T. Ota, et al. GENESEQ Database; Accession No.: P\_AAY76076; Publication: WO1999/55865; Priority Date: April 29, 1998; Inventor: L. Strachan, et al. GENESEQ Database; Accession No.: P\_AAY76011; Publication: WO1999/55865; Priority Date: April 29, 1998; Inventor: L. Strachan, et al. GENESEQ Database; Accession No.: P\_AAY70670; Publication: WO2000/15797; Priority Date: September 17, 1998; Inventor: S. Fong, et al. GENESEQ Database; Accession No.: P AAY95344; Publication: WO2000/37638; Priority Date: December 22, 1998; Inventor: A. Ashkenazi, et al. GENESEQ Database; Accession No.: P AAB24405; Publication: WO2000/32221; Priority Date: December 1, 1998; Inventor: A. Ashkenazi, et al. GENESEQ Database; Accession No.: P\_AAW74464; Publication: WO1999/02561; Priority Date: July 10, 1997; Inventor: SK Gupta, et al. GENESEQ Database; Accession No.: P\_AAY13364; Publication: WO1999/14328; Priority Date: November 25, 1997; Inventor: J. Chen, et al. GENESEQ Database; Accession No.: P\_AAY23321; Publication: WO1999/27098; Priority Date: September 17, 1998; Inventor: A Ashkenazi, et al. GBTRANS Database; Accession No.: AAD42050; Direct Submission, December 6, 1998, H. Ozaki, et al. GBTRANS Database; Accession No.: AAO84556; Direct Submission; March 6, 2002, K. Wenzel, et al. GBTRANS Database; Accession No.: AAF22829; Direct Submission; November 19, 1999; MB Sobocka, et al. GBTRANS Database; Accession No.: AAG28379; Direct Submission; October 1, 1999; SK Gupta, et al. GBTRANS Database; Accession No.: AAD48877; Direct Submission; February 26, 2001; UP Naik, et al. GBTRANS Database; Accession No.: BAC11436; Direct Submission; March 25, 2002; T. Isogai, et al. GBTRANS Database; Accession No.: AAH01533; Direct Submission; December 21, 2000; R. Strausberg, et al. GBTRANS Database; Accession No.: CAB66584; Direct Submission; July 10, 2002, H. Blum, et al. GBTRANS Database; Accession No.: NP 058642; J. Biol. Chem. 265(17), 10042-10048, E. Kornecki, et al. (1990) GBTRANS Database; Accession No.: NP\_653087; J. Biol. Chem. 265(17), 10042-10048, E. Kornecki, et al. (1990) GBTRANS Database; Accession No.: NP\_653086; J. Biol. Chem. 265(17), 10042-10048, E. Kornecki, et al. (1990)

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# SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT PTO-1449

ATTY. DOCKET NO. SERIAL NO. 39780-1216 R1D4 10/785,220

APPLICANT: Avi ASHKENAZI, et al.

| FILING DATE: 02/24/2004 | GROUP: 1644

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PATENT NO.	DATE		NAME	CLAS	SS	SUBCLASS	FILING DAT
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1998; Inventor: A.	Ashkenazi, et	al.					
1998; Inventor: A.	Ashkenazi, et	al.					
1998; Inventor: A.	Ashkenazi, et	al.					
Inventor: KP Baker	, et al.						
1998; Inventor: A.	Ashkenazi, et	al.					
1998; Inventor: A.	Ashkenazi, et	al.					
1998; Inventor: A.	Ashkenazi, et	al.	•				
Inventor: AJ Ashke	nazi, et al.						
GENESEQ Database	e; Accession	No.: P	AAU00821; Pub	lication: WO	02001/1999	1; Priority Date	: September 1:
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1998; Inventor: S. l	Fong, et al.	_					
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1997; Inventor: S. 1	Fong, et al.						
Inventor: AJ Ashke	nazi, et al.	_					
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